

Privacy Statement

Tyndall Investment Management New Zealand Limited is a member of the Nikko Asset Management Co., Ltd. (Nikko Asset Management) group.

The Privacy Act 1993 *requires us to inform you that:*

Purpose of collection

Personal information is information about an identifiable individual and includes facts or an opinion about you which identifies you or by which your identity can be reasonably determined. The collection of your personal information is essential to enable us to conduct our business of offering and providing you with our range of financial products and services.

We collect personal information for the purposes of:

- identifying you when you do business with us;
- protecting your personal information from unauthorised access;
- establishing your requirements and providing the appropriate product or service;
- setting up, administering and managing our products and services;
- assessing and investigating, and if accepted managing a claim made by you under one or more of our products; and
- understanding your needs and improving our financial products and services, including training and developing our staff and representatives.

We are required by the Financial Transactions Reporting Act 1996 to collect your personal information to verify your identity. Therefore, the collection of certain personal information will be mandatory.

Consequences if personal information is not provided

If we request personal information about you and you do not provide it, we may not be able to provide you with the financial product or service that you request or provide you with the full range of services we offer.

Disclosure

We use and disclose your personal information for the purposes we collected it.

We may use and disclose your personal information for a secondary purpose only if that secondary purpose is directly related to the purpose of collection.

When necessary and in connection with purposes listed above, we may disclose your personal information to and/or collect your personal information from:

- other companies within the Nikko Asset Management group;
- where required or authorised under our relationship with our joint venture companies;
- information technology providers, including hardware and software vendors and consultants such as programmers;
- customer research organisations;
- intermediaries including your agent, adviser, a broker, a representative acting on your behalf, or our authorised representatives and our agents;
- accounting or finance specialists;
- trustees or employers associated with superannuation funds or group insurance policies;
- government, law enforcement or statutory bodies;
- legal and other professional advisers;
- administration or business management services, including consultancy firms, auditors and business management consultants;
- research and development service providers;
- printers and mail service and delivery providers for the mailing of statements, insurance policy documents and marketing material;
- imaging and document management services

Disclosure overseas

There are also instances where we may have to send your personal information overseas or collect personal information from overseas. These instances include:

- sending your personal information to companies in the Nikko Asset Management group;
- when you have asked us to do so;
- when we are authorised or required by law to do so;
- when we have outsourced a business activity or function to an overseas service provider with whom we have a contractual arrangement;
- certain electronic transactions; or
- when it is necessary in order to facilitate a transaction on your behalf.

We will only send your personal information overseas or collect personal information about you from overseas for the purposes in this statement and in compliance with the privacy regime.

Access

You are entitled to obtain confirmation from us that we hold personal information about you and you can request access to that personal information by contacting us. In some circumstances, we are able to deny your request for access to personal information. If we deny your request for access, we will tell you why.

If accessing your personal information will take an extended period of time, we will inform you of the likely delay. For more detailed requests for access to personal information, an administration fee may be charged to cover the associated cost of supplying this information.

Correction

If you believe that the information we hold about you is incorrect, you may ask that it be corrected. If we decline to correct the information you may request that a statement is attached to the information that a correction was sought but was not made.

Marketing

We would like to use and disclose your personal information to keep you up to date with the range of products and services available from Nikko Asset Management. Generally, our companies in the Nikko Asset Management group will use and disclose your personal information for Nikko Asset Management's marketing purposes.

If you do not want us to use and disclose your personal information for the purpose of marketing products and services to you, you should contact us and tell us.

Contact

Please contact us to:

- change your mind at any time about receiving marketing material;
- request access to the personal information we hold about you; or
- obtain more information about our privacy practices by asking for a copy of our Privacy Policy;

You can contact Tyndall Investment Management New Zealand Limited at:

Level 14
Vero Centre
48 Shortland Street
PO Box 3892
Auckland 1140

Telephone: 09 377 7200

Email: enquiries@tyndall.co.nz