

March 18, 2014

**Press Release**

Nikko Asset Management Co., Ltd.

**Nikko CS Global High Yield Equity Fund Monthly Dividend Payment Type and  
Nikko Triple Fund (Property Bond Equity) Monthly Dividend Payment Type  
Win Lipper Award for Best Fund**

- 2014 Japan Lipper Fund Awards -



Nikko Asset Management Co., Ltd. (Nikko AM) won the award for best fund in the 2014 Japan Lipper Fund Awards for its Nikko CS Global High Yield Equity Fund Monthly Dividend Payment Type and Nikko Triple Fund (Property Bond Equity) Growth Type. The award was presented by the fund information services firm, Lipper.

| Fund  | Category               | Evaluation Period |
|---|------------------------|-------------------|
| <b>Nikko CS Global High Yield Equity Fund Monthly Dividend Payment Type</b>   | Equity Global Income   | 5 yrs             |
| <b>Nikko Triple Fund (Property Bond Equity) Monthly Dividend Payment Type</b> | Mix Asset JPY Balanced | 10 yrs            |

The 2014 Japan Lipper Fund Awards are part of the Lipper Fund Awards program, which takes place in various countries throughout the world. The Japan awards aim to seek out and recognize asset managers and their top-performing domestic or foreign-domiciled funds registered for sale in Japan.

Nikko AM said that it was honored to be recognized with the award and that it would continue to do its utmost to improve fund performance.

See here for more about the Lipper Fund Awards: <http://www.lipperweb.com/Awards/FundAwards.aspx>

Although Lipper makes reasonable efforts to ensure the accuracy and reliability of the data contained herein, the accuracy is not guaranteed by Lipper. Users acknowledge that they have not relied upon any warranty, condition, guarantee, or representation made by Lipper. Any use of the data for analyzing, managing, or trading financial instruments is at the user's own risk. This is not an offer to buy or sell securities.

\* This award is based on past performance and does not guarantee future investment performance.

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## ■Risk Information

- Investment trust principal is not guaranteed and invests in assets for which prices fluctuate (assets denominated in foreign currencies also bear exchange rate risks), therefore NAV will fluctuate. It is possible that the NAV will fall below the principal amount of your investment. Profits and losses made by the management of investment trusts all belong to the beneficiaries. Investment trusts differ from deposits and savings.
- Different investment trusts invest in different asset classes, markets, and countries, and contain different investment restrictions, so the details of their risks differ accordingly.

## ■Outline of Fees, etc.

Investors must bear the following expenses:

<Fees charged at the time of subscription and redemption>

- Subscription Fees : Up to 4.20%\* (4.0% before tax) \* 4.32% when the consumption tax increases to 8%
- Redemption Fees : Up to 1.05%\* (1.0% before tax) \* 1.08% when the consumption tax increases to 8%
- Amount Retained in Trust Assets: Up to 1.0%

< Fees and expenses charged indirectly through the trust assets (i.e. paid by the Fund)>

- Trust Fees (annual) : Up to 3.17%\* (3.12% before tax) \* 3.20% when the consumption tax increases to 8%

Some fund charge a performance fee based on investment performance, etc.

- Other Expenses: Brokerage commission with respect to securities held in the portfolio, audit fees, interest on borrowings and advances, and fees related to securities lending etc.

\* The rate or maximum amounts applicable to other fees and expenses cannot be disclosed in advance because the actual amount will vary depending on the state of the assets managed.

\* The total amount of fees above will vary depending on the length of investment period and other factors. Therefore, we cannot give a specific total.

\* For more detail, please refer to the investment trust explanatory document (prospectus distributed in advance of purchase).

<Disclaimer>

- Fees, etc. listed are the highest rates among those charged to the investment trusts managed by Nikko Asset Management (as of the date this document was created).
- The risk information and fees, etc. disclosed above are those typical for a general investment trust. Actual fees will vary for each specific investment trust. For more detail, please refer to the investment trust explanatory document (prospectus distributed in advance of subscription).

## ■Other matters to Consider

- These marketing materials were prepared for the purpose of providing information on the investment trusts managed by Nikko Asset Management and promoting investors' understanding of this Fund.
- Unlike bank deposits or insurance policies, investment trusts are not protected by the Deposit Insurance Corporation or the Insurance Policyholders Protection Corporations. In addition, when purchased from registered financial institutions such as banks, investment trusts are not eligible for payments from the Japan Investor Protection Fund.
- Your distributor will provide you with an investment trust explanatory document (prospectus distributed in advance of purchase) when you submit your application for this Fund. Please take the time to read its content carefully.

## About Nikko Asset Management

Nikko Asset Management (Nikko AM) is a leader in the Asian financial services industry, with over \$160 billion in assets under management as of 31 December 2013. Established in 1959, the firm has 22 offices in 10 countries and enjoys one of the largest distributor networks in the region, serving both retail and institutional clients. More than 300 banks, brokers, financial advisors and life insurance companies distribute the company's products.

Nikko AM manages a wide range of equity and fixed income strategies in both active and passive formats, leveraging the talents of more than 270 investment professionals. In 2013 alone, Nikko AM won awards for excellence in asset management from Lipper, Mercer, AsianInvestor, R&I, among others.

The company's management team is highly diverse and experienced, and is committed to running the company according to international best practices. Nikko AM's independence from the limitations imposed on many captive asset management companies allows it to focus on the interests of clients. At the same time, the company enjoys a stable base of shareholders, with majority ownership held by Sumitomo Mitsui Trust Holdings and a smaller stake by DBS Bank.

For more information, please visit [www.nikkoam.com/english](http://www.nikkoam.com/english)

\* Consolidated assets under management and subadvisory of Nikko AM and its subsidiaries as of 31 December 2013. "Region" excludes firms with more than 75% of AUM sourced from a single country.

### **Nikko Asset Management Co., LTD**

Registration Number: Director of the Kanto Local Finance Bureau (Financial instruments firms) No. 368

Member Associations: The Investment Trusts Association, Japan/Japan Investment Advisers Association